

INSTRUCTIONS FOR DSP-61

Applications must be typed. Remove Copy 5 (APPLICANT) before mailing this application to the Office of Defense Trade Controls (PM/DTC). Do NOT detach the perforated tab at the top of the form. All items on the application form should be completed fully. If necessary, type "NONE" or "SAME AS ITEM ____". Do not type "N/A".

CHECKLIST OF DOCUMENTS TO BE SUBMITTED WITH DSP-61

1. **Attachments (Addendum/Continuation Sheets):** When required, three copies to accompany application with identical precedents; nine copies for all other applications. If addendum is freight forwarder list only, submit original and one copy. If adding to information in license application, submit original and six copies. All continuation sheets should identify the country, date of preparation, and total dollar value shown on the application form.
2. **Descriptive Literature:** Two sets for applications with identical precedent (e.g., spare parts shipment); seven sets for all others.
3. **Precedent Approvals:** One copy each of precedent license(s) or agreement(s) for applications with identical histories; seven copies for all others. Include any proviso letter(s).
4. **Verification of Purchase.** For transshipment, clearly indicating foreign end user, end use, quantity and price.
5. If required by 22 CFR 130.10, a **Statement on Political Contributions, Fees and Commissions:** Signed and dated original plus one copy.
6. **DSP-83, Nontransfer and Use Certificate:** When required, original only, signed and sealed, with applicant certification on reverse.

GENERAL INSTRUCTIONS

1. "RETURN TO APPLICANT" should be stamped on each copy of descriptive literature that the company wishes to have returned.
2. Copies of information must be collated into sets.
3. Photocopies of approved licenses may be made for recordkeeping purposes only, not for making shipments.
4. DSP-61 applications may reflect only one country of ultimate destination.
5. Customs authorities may authorize shipments of hardware when the total value of the shipment does not exceed the aggregate value on the license by more than 10%. If the value has increased by more than 10% a new license is required. Quantities may not be amended, and additions should be treated as new orders and result in a new license request.
6. For transshipments, end use must be indicated in writing before applying for licenses. Hardware applications must be accompanied by the customer's verification of purchase or other appropriate document which indicates end use, end user, price and quantity.
7. Applications for Significant Military Equipment (SME) require an original signed DSP-83 at the time of license submission. All applications for SME valued at \$14 million or more for end use by foreign armed forces (other than a member of the North Atlantic Treaty Organization, Australia, New Zealand or Japan) must include a statement referring to prior approval or prior notification by the State Department in Item 10 or in an attached cover letter (see 22 CFR 126.8).
8. Firearms and firearm ammunition applications must be accompanied by import verification issued by the government of the recipient country, if applicable.

SPECIFIC GUIDELINES

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| <p>Item 2 Applicant must be currently registered with PM/DTC. Enter the complete number assigned to applicant at time of registration or renewal. See 22 CFR 122. For registration information call (703) 875-6650.</p> <p>Item 4 Enter the known or probable U.S. ports of entry and exit (22 CFR and 6 123.24).</p> <p>Item 7 Check the appropriate block to identify the government agency familiar with the commodity and, if known, give the name and telephone number of an individual within that agency. If you check "Other" enter the name of the agency in the space provided.</p> <p>Item 8 Check appropriate block to identify applicant. The first line MUST reflect name under which company is registered. Subsequent lines may identify a specific subsidiary of the applicant company.</p> <p>Item 9 Identify an individual within your company who is familiar with this application.</p> <p>Item 10 Check the appropriate block. If the identical commodity has not been previously licensed, but a similar commodity has been licensed, check the appropriate box and type SIMILAR in front of the license number. In all cases, include precedent Technical Assistance, Manufacturing License or Warehouse and Distribution Agreement case numbers where appropriate. Attach copies of referenced licenses or agreements and proviso letters.</p> <p>Item 11 Specify number of end items and major components to be shipped or other means of accountability (e.g., "Lot", "Set", etc.) "LOT" can be used only for minor components or parts, but an itemized list must be attached. Use a continuation sheet if necessary.</p> <p>Item 12 List each commodity, giving type, model number, catalog or part number. Use a continuation sheet if necessary.</p> <p>Item 13 Enter U.S. Munitions List category. Include specific-subparagraph as appropriate. (See 22 CFR 121.1.)</p> <p>Item 14 Value for hardware should include the selling price for each line item without supplementary costs such as packing and freight.</p> | <p>Item 15 Enter total value of items in U.S. dollars (including any items on continuation sheets).</p> <p>Item 17 Check appropriate block and type the name and address of the source or manufacturer.</p> <p>Item 18 Identify the entity in the foreign country that will actually be sending the commodity to the U.S.</p> <p>Item 19 Identify the U.S. intermediate consignee which will be the repair/overhaul facility, or transshipment agent, or freight forwarder.</p> <p>Item 20 Enter the name and address of the foreign intermediate consignee(s) who will receive the goods for onward movement to the foreign end user. Continue on attachment sheet(s) if necessary. International carriers need not be included. Do not include banks or common carriers unless your Letter of Credit specifies a bill of lading "To Order of (the bank or carrier)".</p> <p>Item 21 Provide details of the shipment.</p> <p>Item 22 List the foreign consignee in the country in Block 5 who will receive the commodity. It may or may not be the ultimate end-user. Do not include banks or common carriers unless your Letter of Credit specifies a bill of lading "To Order of (the bank or carrier)".</p> <p>Item 23 Enter the name of the person signing the application. Check the appropriate statement(s). Read the statements on the reverse of the form carefully and check the appropriate items. Sign the application in ink on the signature line. This signature certifies compliance with the provisions of 22 CFR 126.13 (statements on reverse) and other requirements of 22 CFR 120-130. BE SURE TO COMPLETE THE REVERSE SIDE OF THE PAGE BY CHECKING THE APPROPRIATE BLOCK. In instances where you are empowered to sign the application but are not empowered by applicant to certify that conditions of 22 CFR 126.13 and 130 have been met, submit a separate letter(s) from an empowered official in your company who is authorized to provide the certification.</p> <p>Item 25 Enter complete address to which license copy should be mailed. Do not enter "SAME AS ITEM 5."</p> |
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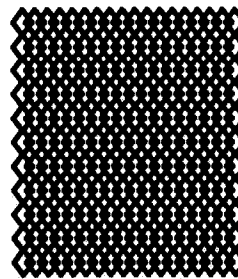
MAILING ADDRESS: Office of Defense Trade Controls, PM/DTC, SA-6, Room 200, U.S. Department of State, Washington, D.C. 20522-0602. Telephone: (703) 875-6644.
DELIVERY ADDRESS: Office of Defense Trade Controls, U.S. Department of State, 1701 N. Ft. Myer Drive, Room 200, Arlington, VA. 22209-3113.

SEAL

Signature

License is hereby granted to the applicant for the described commodity to be shipped to the United States in transit to indicated destination. This license may be revoked, suspended or amended by the Secretary of State without prior notice whenever the Secretary deems such action advisable.

LICENSE NO.



LICENSE VALID FOR MONTHS FROM ABOVE DATE

UNITED STATES OF AMERICA DEPARTMENT OF STATE

APPLICATION/LICENSE FOR TEMPORARY IMPORT OF UNCLASSIFIED DEFENSE ARTICLES

1. Date prepared	2. PM/DTC Applicant/ Registrant Code	3. Foreign country from which shipped	4. U.S port of import
5. Foreign country of ultimate destination	6. U.S port of export	7. Names, agency and telephone numbers of U.S. Government personnel (not PM/DTC) familiar with the commodity. <input type="checkbox"/> Army <input type="checkbox"/> Air Force <input type="checkbox"/> Navy <input type="checkbox"/> Other	
8. Applicant's name, address, ZIP code, tel. no. Applicant is: <input type="checkbox"/> agent/manufacturer <input checked="" type="checkbox"/> freight forwarder <input type="checkbox"/> government R.E. ROGERS INC. 23900 HAWTHORNE BLVD., STE. 200 TORRANCE, CA, 90620 TELEPHONE NUMBER:		9. Name and telephone number of applicant contact if U.S. Government needs additional information. L. M. KERPAN JR., PH# 310 791 2464	
		10. The IDENTICAL commodity <input type="checkbox"/> was licensed to the country in block 3 under license no. _____; <input type="checkbox"/> was licensed to other countries under license no. _____; <input type="checkbox"/> was denied to the country in block 3 under voided license no. _____; <input type="checkbox"/> was never licensed for this applicant.	

11. QUANTITY	12. COMMODITY (Indicate overhaul/freight/modification cost if applicable and known; follow instructions carefully)	13. USML CAT.	14. VALUE
			15. TOTAL VALUE: \$

16. Name and address of owner/end-user of commodity in foreign country from which shipped	17. <input type="checkbox"/> Source or <input type="checkbox"/> manufacturer of commodity
18. Name and address of consignor in foreign country from which shipped	19. Name and address of U.S. intermediate consignee (overhaul/repair facility or transshipment agent) R.E. ROGERS INC. 23900 HAWTHORNE BLVD., STE. 200 TORRANCE, CA, 90620
20. Name and address of foreign intermediate consignee	21. Specific purpose for which the material is imported <input type="checkbox"/> Overhaul/repair <input type="checkbox"/> Modification/upgrade <input type="checkbox"/> Transshipment to a third country
22. Name and address of consignee in foreign country of ultimate destination	23. APPLICANT'S STATEMENT I, _____, hereby apply for a license to complete <i>(Typed name)</i> the transaction described above; warrant the truth of all statements made herein; and acknowledge, understand and will comply with the provisions of Title 22 CFR 120 - 130, and any conditions and limitations imposed. If the commodity is firearm or ammunition of U.S. manufacture, I certify that, based on corroborative evidence, the commodity was not furnished on a grant basis to, or acquired without full payment by, a foreign government under a foreign assistance program of the U.S. as set forth in Title 27 CFR 47.57. CHECK ALL THAT APPLY: <input type="checkbox"/> I am a responsible official empowered by the applicant to certify that the conditions of 22 CFR 126.13 and 22 CFR 130 as listed on the reverse of this form have been met in full. <input type="checkbox"/> The applicant, or another party to this export cannot meet one or more of the conditions in 22 CFR 126.13. A request for an exception to policy is attached. <input type="checkbox"/> U.S. intermediate consignee(s) list(s) is/are attached. Signature _____
24. Name and address of end user in foreign country of ultimate destination	
25. LICENSE TO BE SENT TO: Name, address, ZIP code R.E. ROGERS INC. 23900 HAWTHORNE BLVD., STE. 200 TORRANCE, CA, 90620	

*Public reporting burden for this collection of information is estimated to average 1/2 hour per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (OIS/PA/DIR) Washington, D.C. 20520-0264, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (1405-0013), Washington, D. C. 20503.